

Beyond Boundaries:

A Bibliography on Transboundary Natural Resource Management in Sub-Saharan Africa

2001

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Preface

In the past decade, attention to the need for transboundary natural resource management (TBNRM) has continued to increase, for the multiple benefits it may offer to natural resource management, biodiversity conservation, and economic development. Over the past four years, the Biodiversity Support Program (BSP) has undertaken an in-depth, multi-layered analysis of transboundary natural resource management in sub-Saharan Africa. This project has resulted in numerous publications, all of which contribute in some measure to one's understanding of the issue (all are available online at www.BSPonline.org). The process of producing these publications uncovered a large amount of literature from many fields of inquiry that touch on the subject of TBNRM in some way. Since the material is drawn from so many different academic disciplines, we recognized that compiling it together into a single bibliography of TBNRM-related references would hopefully save others the trouble of attempting to identify and locate these readings individually.

Thus was born this bibliography of TBNRM references. It was created foremost with natural resource managers in mind, although we hope that other government personnel, or staff of NGOs and donor agencies, teachers, or even researchers find it useful as well. The focus is on TBNRM in sub-Saharan Africa, although it also includes TBNRM-related references from other parts of the world that might be applicable for Africa. The resulting product, while most useful for those working in African TBNRM, should also be helpful for those interested in managing natural resources across boundaries everywhere else.

Background on the TBNRM Project

BSP's TBNRM project was initiated in 1998 with a study on the opportunities and constraints for TBNRM in Southern Africa, at the request of USAID's Regional Center for Southern Africa (RCSA). The second phase of the project expanded to include West, Central, and Eastern Africa; BSP commissioned regional overviews and case studies in each region, and undertook a pan-African analysis. Findings from all four regions were used as the basis for a preliminary analysis. A workshop was then organized in Zimbabwe in April 2001 with practitioners from all four regions in sub-Saharan Africa, for in-depth discussions on current thinking on and understanding of TBNRM. A small writing team of BSP staff and consultants incorporated the project findings into one publication (van der Linde *et al.* 2001). The first phase was managed by Judy Oglethorpe and the second phase by Harry van der Linde.

The Bibliography

This bibliography began as a composite of the references from all BSP TBNRM publications (listed separately at the beginning of this bibliography). A subsequent literature review on topics directly and indirectly related to TBNRM, with a focus on sub-Saharan Africa, identified additional references for inclusion in the bibliography. Numerous references were taken from Zbicz (1999), and only a limited number of Web sites are included. The authors would also like to thank José Kalpers and Jay Singh for their contributions.

Since TBNRM is a relatively new field of inquiry, the compilers had to invent the criteria to follow in determining which references to include in this bibliography. The criteria used for inclusion were as follows:

- ▶ References directly related to transboundary natural resources or TBNRM;
- ▶ References not directly related to TBNRM or transboundary resources, but having relevance and usefulness to managers of transboundary resources;
- ▶ References focusing specifically on sub-Saharan Africa, or from other geographical regions but of interest to resource managers in Africa;
- ▶ References easily accessible and recent, in most cases published since 1980; and
- ▶ For books entirely relevant to TBNRM, only the entire book is listed rather than the authors of each individual chapter. For those where the subject of a chapter should be categorized differently than the book as a whole, the chapters are listed separately.

We do not claim that this is an exhaustive bibliography of the subject. Although every attempt has been made to include all relevant references, omissions were unavoidable, especially considering the short time frame within which this project was undertaken. We extend sincere apologies to any omitted authors and for relevant references we missed.

Following compilation, the references were clustered into categories in order to simplify the process of finding references of interest to specific users. (Otherwise the overall bibliography could have proven cumbersome for hurried resource managers seeking to find a document relevant to their specific needs.) The categories grew out of the bibliography, rather than the reverse. Each reference has been listed only once, in a single category. This proved a particularly difficult task as most of the references could easily have been listed under several different categories. The compilers made their best judgment calls about where a user would look first for such a reference.

The compilers hope this document will be helpful in taking decisions regarding the application of TBNRM and wish to thank those who have contributed to and provided assistance for this project, many unaware. The errors are ours alone.

Harry van der Linde

Dorothy C. Zbicz

Josh Stevens

November 2001

Préface

Au cours des dix dernières années, l'attention portée sur le besoin d'une gestion transfrontalière des ressources naturelles (TBNRM) n'a cessé de croître, en raison des nombreux avantages que celle-ci peut apporter en terme de gestion des ressources naturelles, de conservation de la biodiversité, et de développement économique. Ces quatre dernières années, le Biodiversity Support Program (BSP) a entrepris une analyse approfondie, et à plusieurs niveaux, de la gestion transfrontalière des ressources naturelles dans la région du sud du Sahara africain. Ce projet a donné lieu à de nombreuses publications, chacune d'entre elles contribuant dans une certaine mesure à la compréhension du problème (toutes sont disponibles en ligne à l'adresse www.BSPonline.org). La réalisation de ces publications a mis au jour un grand nombre d'ouvrages couvrant de nombreux domaines d'enquête portant, d'une manière ou d'une autre, sur le thème de la Gestion transfrontalière des ressources naturelles. Etant donné que ces documents proviennent d'une très grande variété de disciplines académiques, nous avons pensé que rassembler ces documents en une seule et unique bibliographie relative à la Gestion transfrontalière des ressources naturelles permettrait, nous l'espérons, d'épargner à d'autres personnes la difficulté qui consiste à tenter d'identifier et de situer ces ouvrages individuellement.

C'est ainsi qu'est née la bibliographie de la Gestion transfrontalière des ressources naturelles. Elle a été conçue en gardant à l'esprit l'idée que celle-ci puisse s'adresser aux gestionnaires des ressources naturelles, mais nous espérons que d'autres membres d'agences gouvernementales, d'ONG ainsi que d'organismes donateurs, professeurs ou même chercheurs la trouveront utile également. La documentation vise principalement la Gestion transfrontalière des ressources naturelles en Afrique subsaharienne, bien qu'elle intègre également des références relatives à d'autres régions du monde et qui pourraient être étendues à l'Afrique. Le document ainsi produit, bien qu'il soit plus utile aux personnes travaillant dans le domaine de la Gestion transfrontalière des ressources naturelles en Afrique, devrait également être utile à toute personne intéressée par la gestion des ressources naturelles au-delà des frontières, partout ailleurs.

Contexte du Projet de Gestion transfrontalière des ressources naturelles

Le Projet de Gestion transfrontalière des ressources naturelles du BSP a été lancé en 1998 par une étude sur les opportunités et contraintes d'une Gestion transfrontalière des ressources naturelles en Afrique méridionale, à la demande du Regional Center for Southern Africa (RCSA) de l'USAID. La seconde phase du projet a été élargie afin d'inclure l'Afrique de l'ouest, l'Afrique centrale, et l'Afrique de l'est. Le BSP a demandé la réalisa-

tion d'analyses régionales et d'études de cas pour chaque région, et a entrepris une analyse panafricaine. Les résultats obtenus pour chacune des quatre régions ont été utilisés comme base à une analyse préliminaire. Un atelier a alors été mis en place au Zimbabwe en avril 2001 avec des praticiens originaires des quatre régions subsahariennes, pour procéder à des discussions très détaillées sur le concept actuel de la Gestion transfrontalière des ressources naturelles et engager une réflexion sur la manière avec laquelle il est appréhendé. Une petite équipe de rédaction composée de membres du BSP ainsi que de consultants a intégré les résultats obtenus grâce au projet dans une publication (van der Linde *et al.* 2001). La première phase a été dirigée par Judy Oglethorpe et la deuxième phase par Harry van der Linde.

La bibliographie

Au départ, cette bibliographie regroupait les références de toutes les publications BSP relatives à la Gestion transfrontalière des ressources naturelles (énumérées séparément au début de cette bibliographie). Un réexamen ultérieur de la documentation portant directement ou indirectement sur les thèmes liés à la Gestion transfrontalière des ressources naturelles, particulièrement en Afrique subsaharienne, a permis d'identifier des références supplémentaires qui ont été incorporées dans la bibliographie. De nombreuses références ont été prises dans Zbicz (1999), et seules quelques adresses de sites Internet sont incluses. Les auteurs tiennent également à remercier José Kalpers et Jay Singh pour leur contribution.

Étant donné que la Gestion transfrontalière des ressources naturelles est un domaine de recherche relativement nouveau, les personnes chargées de la compilation des références ont du créer des critères pour déterminer et sélectionner les références à inclure dans cette bibliographie. Ces critères sont les suivants :

- ▶ Les références portant directement sur les ressources naturelles transfrontalières ou sur la Gestion transfrontalière des ressources naturelles ;
- ▶ Les références qui ne sont pas directement liées à la Gestion transfrontalière des ressources naturelles ou aux ressources transfrontalières, mais qui sont pertinentes et utiles aux gestionnaires des ressources transfrontalières ;
- ▶ Les références axées de manière spécifique sur l'Afrique subsaharienne, ou portant sur d'autres régions géographiques mais qui ont un intérêt pour les gestionnaires de ressources en Afrique ;
- ▶ Les références récentes et auxquelles il est facile d'accéder, dans la plupart des cas publiées depuis 1980 ; et
- ▶ En ce qui concerne les livres entièrement consacrés à la Gestion transfrontalière des ressources naturelles, le livre dans son intégralité a été mentionné plutôt que les

auteurs de chacun des chapitres. Pour les livres dont le thème d'un chapitre doit entrer dans une catégorie différente de celle du livre dans son intégralité, les chapitres sont mentionnés séparément.

Nous ne prétendons pas que cette bibliographie soit une bibliographie exhaustive sur le thème traité. Bien que nous ayons déployé tous les efforts dans le but d'inclure toutes les références utiles, des omissions sont inévitables, tout particulièrement lorsque l'on considère le peu de temps dont nous avons pu disposer pour mener à bien ce projet. Nous adressons de sincères excuses à tous les auteurs et pour toutes les références utiles que nous avons pu omettre.

Après avoir compilé ces références, nous les avons classées par catégorie afin de simplifier la tâche aux utilisateurs cherchant les références qui leur sont nécessaires. Dans le cas contraire, nous aurions produit une bibliographie qui, dans son ensemble, se serait avérée peu maniable pour des gestionnaires pressés à la recherche d'un document pouvant répondre à leurs besoins spécifiques. Les catégories ont été définies à partir de la bibliographie et non inversement. Chaque référence a été mentionnée une seule fois, dans une seule catégorie. Cette tâche s'est avérée très difficile car la plupart des références pouvaient très facilement appartenir à des catégories différentes. Les personnes chargées de la compilation des références ont fait appel à leur meilleur jugement afin de déterminer la rubrique que l'utilisateur consulterait en premier lieu pour trouver la référence recherchée.

Les rédacteurs de cette bibliographie espèrent que ce document sera utile aux décideurs pour l'application de la Gestion transfrontalière des ressources naturelles et souhaitent remercier les personnes qui ont contribué et soutenu ce projet, bien que bon nombre d'entre elles l'aient fait inconsciemment. Nous assumons donc les erreurs commises dans cet ouvrage.

Harry van der Linde

Dorothy C. Zbicz

Josh Stevens

Novembre 2001

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